

Compliance Quiz

1. It's okay to accept any gift from a vendor as long as it is only at Christmas or for your birthday.
T/F
2. If an employee believes he/she knows of an instance of someone violating the Code of Business Conduct, Human Resources must be notified immediately.
T/F
3. The Code of Business Conduct rules do not apply to senior management.
T/F
4. It's permissible to occasionally break a rule of the Code of Business Conduct if it benefits XXX and not me personally.
T/F
5. If I know of a reportable incident and do not report it, I can never be held accountable.
T/F
6. There are no restrictions regarding gifts or courtesies to or from vendor employees since we're all in the same "family."
T/F
7. If an employee has not taken the required compliance training yet, he/she cannot be held responsible for breaking any of XXX's Code of Business Conduct rules.
T/F
8. The only way a potential problem can be reported is to the chief compliance officer.
T/F
9. Some departments are allowed to "bend the rules" because of the nature of the work they do.
T/F
10. The basic philosophy of the Code of Business Conduct is that it is better to do the right thing because it is the right thing to do.
T/F